

SECTION 1012  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
# 3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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DATE MAILED:

### NOTICE TO FILE MISSING PARTS OF APPLICATION *Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

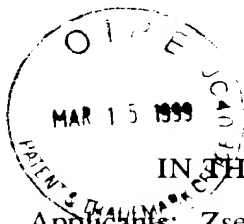
- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

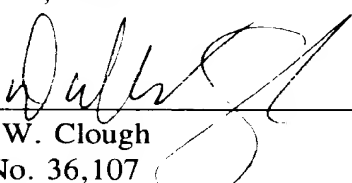
**A copy of this notice MUST be returned with the reply.**



**PATENT**

Attorney Docket No. 01017/35136

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Zsebo et al.	)	I hereby certify that this paper (or fee)
	)	is being deposited with the United
Serial No.: 09/224,683	)	States Postal Service, first class
	)	postage prepaid, addressed to:
Filed: December 31, 1998	)	Assistant Commissioner for Patents,
	)	Application Processing Division:
For: Stem Cell Factor: Composition	)	Customer Correction Branch,
Claims	)	Washington, DC 20231.
	)	
Group Art Unit: 1646	)	March 11, 1999
	)	
Examiner: To Be Assigned	)	
	)	David W. Clough
	)	Reg. No. 36,107

**REQUEST FOR ISSUANCE OF CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Application Processing Division  
Washington, DC 20231  
ATTN: Customer Correction Branch

Sir:

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following data:

Error in Name of Applicant(s)      Kriszkina M. Zebo, Robert A. Bosselman,  
Sidney V. Suggs, and Francis H. Martin

Correct Name of Applicant(s)      Krisztina M. Zsebo, Robert A. Bosselman,  
Sidney V. Suggs, and Francis H. Martin

Error in Continuing Data:      This Appln is a Div of 09/005,893      01/12/98  
Which is a Div of 08/449,653      05/24/95  
Which is a Div of 08/172,329      12/21/93  
Which is a Con of 07/982,255      11/25/92  
Which is a CIP of 07/589,701      10/01/90      ABN  
Which is a CIP of 07/573,616      08/24/90      ABN  
Which is a CIP of 07/537,198      06/11/90      ABN

Which is a CIP of 07/422,383 10/16/89 ABN

Correct Continuing Data: This Appln is a Div of 09/005,893 01/12/98  
Which is a Div of 08/449,653 05/24/95  
Which is a Div of 08/172,329 12/21/93  
Which is a Con of 07/982,255 11/25/92  
Which is a Con of 07/684,535 04/10/91  
Which is a CIP of 07/589,701 10/01/90 ABN  
Which is a CIP of 07/573,616 08/24/90 ABN  
Which is a CIP of 07/537,198 06/11/90 ABN  
Which is a CIP of 07/422,383 10/16/89 ABN

Error in Title: STEM CELL FACTOR

Correct Title: STEM CELL FACTOR: COMPOSITION CLAIMS

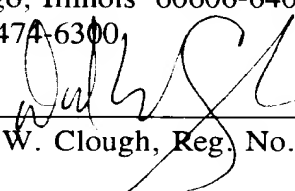
Correction is believed to be in order, and is respectfully solicited. Any fees required may be charged to our deposit account number 13-2855.

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN,  
MURRAY & BORUN

6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6402  
(312) 474-6300

March 11, 1999

By   
David W. Clough, Reg. No. 36,107



surcharge for a non-small entity. Any additional required fee may be charged to our deposit account number 13-2855. Any overpayment should be refunded to the undersigned's law firm at the indicated address.

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN,

MURRAY & BORUN

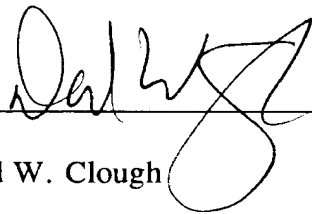
233 South Wacker Drive

Chicago, Illinois 60606-6402

(312) 474-6300

March 11, 1999

By

  
\_\_\_\_\_

David W. Clough

Reg. No.36,107

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/224,683	12/31/98	1646	\$2,820.00	01017/35136	70	120	1

MARSHALL O'TOOLE GERSTEIN  
MURRAY & BORUN  
6300 SEARS TOWER  
233 SOUTH WACKER DRIVE  
CHICAGO IL 60606-6402



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

KRISZKINA M. ZSEBO, THOUSAND OAKS, CA; ROBERT A.  
BOSSELMAN, THOUSAND OAKS, CA; SIDNEY V. SUGGS,  
NEWBURY PARK, CA; FRANCIS H. MARTIN, THOUSAND OAKS, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A DIV OF	09/005,893	01/12/98	
WHICH IS A DIV OF	08/449,653	05/24/95	
WHICH IS A DIV OF	08/172,329	12/21/93	
WHICH IS A CON OF	07/982,255	11/25/92	
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WHICH IS A CIP OF	07/573,616	08/24/90	ABN
WHICH IS A CIP OF	07/537,198	06/11/90	ABN
WHICH IS A CIP OF	07/422,383	10/16/89	ABN

FOREIGN FILING LICENSE GRANTED 02/05/99

TITLE  
STEM CELL FACTOR

PRELIMINARY CLASS: 536

DATA ENTRY BY: MARTIN, DIANE

TEAM: 04 DATE: 02/05/99

(see reverse)

LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.62 which meets the provisions of 37 CFR 5.15(a). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128) ); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j) ); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).